

2010/42

# First-tier Tribunal (Gambling) Fees Order 2010

## First-tier Tribunal (Gambling) Fees Ord

*Made* 10 January 2010

*Laid before Parliament* 12 January 2010

*Coming into force* 18 January 2010

[PREA

The Lord Chancellor makes the following Order in exercise of the power conferred by section 42 of the Tribunals, Courts and Enforcement Act 2007.

In accordance with section 42(5) of that Act the Lord Chancellor has consulted the Senior President of Tribunals and the Administrative Justice and Tribunals Council.

[MAIN

### 1 Citation and commencement

This Order may be cited as the First-tier Tribunal (Gambling) Fees Order 2010 and comes into force on 18 January 2010.

### 2 Fee for bringing an appeal under the Gambling Act 2005

(1) Subject to paragraph (2) and articles 3 and 4, the fees set out in column 2 of the table in [Schedule 1]<sup>1</sup> are payable in relation to an appeal to the First-tier Tribunal under the Gambling Act 2005 listed in column 1 of that table.

(2) Where an appeal relates to a combination of any of the licences listed in fees 1.1 to 1.12 of column 1 of the table in [Schedule 1]<sup>1</sup>, only one fee is payable, and if those fees are different, only the highest fee is payable.

#CommentB

### Amendments—

#EndnotesB

- 1 Words substituted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (2) with effect from 7 October 2013.

#EndnotesE

#CommentE

### [3 Remissions and part remissions

Schedule 2 applies for the purpose of ascertaining whether a party is entitled to a remission or part remission of a fee prescribed by this Order.]<sup>1</sup>

#CommentB

**Amendments—**

#EndnotesB

- 1 Article 3 substituted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (3) with effect from 7 October 2013.

#EndnotesE

#CommentE

4, 5

...<sup>1</sup>

#CommentB

**Amendments—**

#EndnotesB

- 1 Articles 4, 5 revoked by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (4) with effect from 7 October 2013.

#EndnotesE

#CommentE

[SCHD

**[[SCHEDULE 1]  
Fees to be Taken**

Article 2

[FTB

#TableB

<i>Appeal</i>	<i>Column A</i>	<i>Column B</i>
	<i>New fee</i>	<i>Old fee</i>
1 On filing an appeal under section 141 of the Gambling Act 2005 in relation to—		
1.1 a casino operating licence referred to in section 65(2)(a) of that Act	£14,000	£13,070
1.2 a bingo operating licence referred to in section 65(2)(b) of that Act	£3,100	£2,905
1.3 a general betting operating licence referred to in section 65(2)(c) of that Act	£10,000	£9,335
1.4 a pool betting operating licence referred to in section 65(2)(d) of that Act	£10,000	£9,335

<i>Appeal</i>	<i>Column A</i>	<i>Column B</i>
	<i>New fee</i>	<i>Old fee</i>
1.5 a betting intermediary operating licence referred to in section 65(2)(e) of that Act	£10,000	£9,335
1.6 a gaming machine general operating licence for an adult gaming centre referred to in section 65(2)(f) of that Act	£1,600	£1,450
1.7 a gaming machine general operating licence for a family entertainment centre referred to in section 65(2)(g) of that Act	£1,600	£1,450
1.8 a gaming machine technical operating licence referred to in section 65(2)(h) of that Act	£1,600	£1,450
1.9 a gambling software operating licence referred to in with section 65(2)(i) of that Act	£1,600	£1,450
1.10 a lottery operating licence referred to in section 65(2)(j) of that Act	£9,400	£8,710
1.11 a personal management office licence referred to in section 127 of that Act	£1,600	£1,450
1.12 a personal operational function licence referred to in section 127 of that Act	£800	£755
2 On filing an appeal under section 337(1) of the Gambling Act 2005 against the Gambling Commission's order to void a bet under section 336(1) of that Act	£9,400	£8,710]

#TableE  
[FTE]

#CommentB

### Amendments—

Schedule substituted by the First-tier Tribunal (Gambling) Fees (Amendment) Order, SI 2010/633 art 2, Schedule with effect from 6 April 2010.

Schedule 1 numbered as such by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (5) with effect from 7 October 2013.

#CommentE

## [Schedule 2 Remissions and Part Remissions

#CommentB

### Amendments—

Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#CommentE

[1 Interpretation

(1) In this Schedule—

“child” means a person—

- (a) whose main residence is with a party and who is aged—
  - (i) under 16 years; or
  - (ii) 16 to 19 years; and is—
    - (aa) not married or in a civil partnership; and
    - (bb) enrolled or accepted in full-time education that is not advanced education, or approved training; or
- (b) in respect of whom a party or their partner pays child support maintenance or periodic payments in accordance with a maintenance agreement,

and “full-time education”, “advanced education” and “approved training” have the meaning given by the Child Benefit (General) Regulations 2006;

“child support maintenance” has the meaning given in section 3(6) of the Child Support Act 1991;

“couple” has the meaning given in section 3(5A) of the Tax Credits Act 2002;

“disposable capital” has the meaning given in paragraph 5;

[“excluded benefits” means any of the following—

- (a) any of the following benefits payable under the Social Security Contributions and Benefits Act 1992 or the corresponding provisions of the Social Security Contributions and Benefits (Northern Ireland) Act 1992—
  - (i) attendance allowance under section 64;
  - (ii) severe disablement allowance;
  - (iii) carer’s allowance;
  - (iv) disability living allowance;
  - (v) constant attendance allowance under section 104 as an increase to a disablement pension;
  - (vi) any payment made out of the social fund;
  - (vii) housing benefit;
  - (viii) widowed parents allowance;
- (b) any of the following benefit payable under the Tax Credits Act 2002—
  - (i) any disabled child element or severely disabled child element of the child tax credit;

- (ii) any childcare element of the working tax credit;
- (c) any direct payment made under the Community Care, Services for Carers and Children’s Services (Direct Payments) (England) Regulations 2009, the Community Care, Services for Carers and Children’s Services (Direct Payments) (Wales) Regulations 2011, the Carers and Direct Payments Act (Northern Ireland) 2002, or section 12B(1) of the [the Social Care (Self-directed Support) (Scotland) Act 2013]<sup>3</sup>;
- (d) a back to work bonus payable under section 26 of the Jobseekers Act 1995, or article 28 of the Jobseekers (Northern Ireland) Order 1995;
- (e) any exceptionally severe disablement allowance paid under the Personal Injuries (Civilians) Scheme 1983;
- (f) any payments from the Industrial Injuries Disablement Benefit;
- (g) any pension paid under the Naval, Military and Air Forces etc (Disablement and Death) Service Pension Order 2006;
- (h) any payment made from the Independent Living Funds;
- (i) any payment made from the Bereavement Allowance;
- (j) any financial support paid under an agreement for the care of a foster child;
- (k) any housing credit element of pension credit;
- (l) any armed forces independence payment;
- (m) any personal independence payment payable under the Welfare Reform Act 2012;
- (n) any payment on account of benefit as defined in the Social Security (Payments on Account of Benefit) Regulations 2013;
- (o) any of the following amounts, as defined by the Universal Credit Regulations 2013, that make up an award of universal credit—
  - (i) an additional amount to the child element in respect of a disabled child;
  - (ii) a housing costs element;
  - (iii) a childcare costs element;
  - (iv) a carer element;
  - (v) a limited capability for work or limited capacity for work and work-related activity element.]<sup>2</sup>

“family help (higher)” has the meaning given in paragraph 15(3) of the Civil Legal Aid (Merits Criteria) Regulations 2013;

“family help (lower)” has the meaning given in paragraph 15(2) of the Civil Legal Aid (Merits Criteria) Regulations 2013;

“gross monthly income” has the meaning given in paragraph 13;

“Independent Living Funds” means the funds listed at regulation 20(2)(b) of the Criminal Legal Aid (Financial Resources) Regulations 2013;

“legal representation” has the meaning given in paragraph 18(2) of the Civil Legal Aid (Merits Criteria) Regulations 2013;

“maintenance agreement” has the meaning given in subsection 9(1) of the Child Support Act 1991;

“partner” means a person with whom the party lives as a couple and includes a person with whom the party is not currently living but from whom the party is not living separate and apart;

“party” means the individual who would, but for this Schedule, be liable to pay a fee under this Order;

“restraint order” means—

- (a) an order under section 42(1A) of the Senior Courts Act 1981;
- (b) an order under section 33 of the Employment Tribunals Act 1996;
- (c) a civil restraint order made under rule 3.11 of the Civil Procedure Rules 1998, or a practice direction made under that rule; or
- (d) a civil restraint order under rule 4.8 of the Family Procedure Rules 2010, or the practice direction referred to in that rule.

(2) References to remission of a fee are to be read as including references to a part remission of a fee as appropriate and remit and remitted shall be construed accordingly.]<sup>1</sup>

**#CommentB**

## **Amendments—**

**#EndnotesB**

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.
- 2 Definition of “excluded benefits” substituted by the Courts and Tribunals Fees (Miscellaneous Amendments) Order, SI 2014/590 art 6 with effect from 6 April 2014.
- 3 In definition of “excluded benefits”, para (e), words substituted by the Social Care (Self-directed Support) (Scotland) Act 2013 (Consequential Modifications and Savings) Order, SI 2014/513 art 2, Schedule para 20 with effect from 1 April 2014. Publisher’s note: notwithstanding the substitution of the definition of “excluded benefits” with effect from 6 April 2014 (see amendment note 1 above), the Social Work (Scotland) Act 1968 s 12B was repealed by the Social Care (Self-directed Support) (Scotland) Act 2013 s 25 with effect from 1 April 2014 (by virtue of SSI 2014/32)).

**#EndnotesE**

**#CommentE**

## **[2 Fee remission**

If a party satisfies the disposable capital test, the amount of any fee remission is calculated by applying the gross monthly income test.]<sup>1</sup>

**#CommentB**

## Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### *[Disposable capital test*

#### 3 Disposable capital test

- (1) Subject to paragraph 4, a party satisfies the disposable capital test if—
- (a) the fee payable by the party and for which an application for remission is made, falls within a fee band set out in column 1 of Table 1; and
  - (b) the party's disposable capital is less than the amount in the corresponding row of column 2.

[FTB

#TableB

**Table 1**

<i>Column 1 (fee band)</i>	<i>Column 2 (disposable capital)</i>
Up to and including £1,000	£3,000
£1,001 to £1,335	£4,000
£1,336 to £1,665	£5,000
£1,666 to £2,000	£6,000
£2,001 to £2,330	£7,000
£2,331 to £4,000	£8,000
£4,001 to £5,000	£10,000
£5,001 to £6,000	£12,000
£6,001 to £7,000	£14,000
£7,001 or more	£16,000] <sup>1</sup>

#TableE

[FTE

#CommentB

## Amendments—

#EndnotesB

1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

[4

Subject to paragraph 14, if a party or their partner is aged 61 or over, that party satisfies the disposable capital test if that party's disposable capital is less than £16,000.]<sup>1</sup>

#CommentB

**Amendments—**

#EndnotesB

1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

**5 [Disposable capital**

Subject to paragraph 14, disposable capital is the value of every resource of a capital nature belonging to the party on the date on which the application for remission is made, unless it is treated as income by this Order, or it is disregarded as excluded disposable capital.]<sup>1</sup>

#CommentB

**Amendments—**

#EndnotesB

1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

**[6 Disposable capital—non-money resources**

The value of a resource of a capital nature that does not consist of money is calculated as the amount which that resource would realise if sold, less—

- (a) 10% of the sale value; and
- (b) the amount of any borrowing secured against that resource that would be repayable on sale.]<sup>1</sup>

#CommentB

**Amendments—**

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### [7 Disposable capital—resources held outside the United Kingdom

- (1) Capital resources in a country outside the United Kingdom count towards disposable capital.
- (2) If there is no prohibition in that country against the transfer of a resource into the United Kingdom, the value of that resource is the amount which that resource would realise if sold in that country, in accordance with paragraph 6.
- (3) If there is a prohibition in that country against the transfer of a resource into the United Kingdom, the value of that resource is the amount that resource would realise if sold to a buyer in the United Kingdom.]<sup>1</sup>

#CommentB

### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### [8 Disposable capital—foreign currency resources

Where disposable capital is held in currency other than sterling, the cost of any banking charge or commission that would be payable if that amount were converted into sterling, is deducted from its value.]<sup>1</sup>

#CommentB

### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### [9 Disposable capital—jointly owned resources

Where any resource of a capital nature is owned jointly or in common, there is a presumption that the resource is owned in equal shares, unless evidence to the contrary is produced.]<sup>1</sup>

#CommentB

### Amendments—

**#EndnotesB**

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

**#EndnotesE****#CommentE****[10 Excluded disposable capital**

The following things are excluded disposable capital—

- (a) a property which is the main or only dwelling occupied by the party;
- (b) the household furniture and effects of the main or only dwelling occupied by the party;
- (c) articles of personal clothing;
- (d) any vehicle, the sale of which would leave the party, or their partner, without motor transport;
- (e) tools and implements of trade, including vehicles used for business purposes;
- (f) the capital value of the party's or their partner's business, where the party or their partner is self-employed;
- (g) the capital value of any funds or other assets held in trust, where the party or their partner is a beneficiary without entitlement to advances of any trust capital;
- (h) a jobseeker's back to work bonus;
- (i) a payment made as a result of a determination of unfair dismissal by a court or tribunal, or by way of settlement of a claim for unfair dismissal;
- (j) any compensation paid as a result of a determination of medical negligence or in respect of any personal injury by a court, or by way of settlement of a claim for medical negligence or personal injury;
- (k) the capital held in any personal or occupational pension scheme;
- (l) any cash value payable on surrender of a contract of insurance;
- (m) any capital payment made out of the Independent Living Funds;
- (n) any bereavement payment;
- (o) any capital insurance or endowment lump sum payments that have been paid as a result of illness, disability or death;
- (p) any student loan or student grant;
- (q) any payments under the criminal injuries compensation scheme.<sup>1</sup>

**#CommentB****Amendments—**

**#EndnotesB**

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

*[Gross monthly income test***11 Remission of fees—gross monthly income**

(1) If a party satisfies the disposable capital test, no fee is payable under this Order if, at the time when the fee would otherwise be payable, the party or their partner has the number of children specified in column 1 of Table 2 and—

- (a) if the party is single, their gross monthly income does not exceed the amount set out in the appropriate row of column 2; or
- (b) if the party is one of a couple, the gross monthly income of that couple does not exceed the amount set out in the appropriate row of column 3.

**[FTB**

#TableB

**Table 2**

<i>Column 1</i>	<i>Column 2 Column 3</i>	
<i>Number of children of party</i>	<i>Single</i>	<i>Couple</i>
no children	£1,085	£1,245
1 child	£1,330	£1,490
2 children	£1,575	£1,735

#TableE

**[FTE**

(2) If a party or their partner has more than 2 children, the relevant amount of gross monthly income is the appropriate amount specified in Table 2 for 2 children, plus the sum of £245 for each additional child.

(3) For every £10 of gross monthly income received above the appropriate amount in Table 2, including any additional amount added under sub-paragraph (2), the party must pay £5 towards the fee payable, up to the maximum amount of the fee payable.

(4) This paragraph is subject to paragraph 12.]<sup>1</sup>

#CommentB

**Amendments—**

#EndnotesB

1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### [12 Gross monthly income cap

(1) No remission is available if a party or their partner has the number of children specified in column 1 of Table 3 and—

- (a) if the party is single, their gross monthly income exceeds the amount set out in the appropriate row of column 2 of Table 3; or
- (b) if the party is one of a couple, the gross monthly income of that couple exceeds the amount set out in the appropriate row of column 3 of Table 3.

[FTB

#TableB

**Table 3**

<i>Column 1</i>	<i>Column 2</i>	<i>Column 3</i>
<i>Number of children of party</i>	<i>Single</i>	<i>Couple</i>
no children	£5,085	£5,245
1 child	£5,330	£5,490
2 children	£5,575	£5,735

#TableE

[FTE

(2) If a party or their partner has more than 2 children, the relevant amount of gross monthly income is the appropriate amount specified in Table 3 for 2 children, plus the sum of £245 for each additional child.]<sup>1</sup>

#CommentB

### Amendments—

#EndnotesB

1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### 13 [Gross monthly income

(1) Subject to paragraph 14, gross monthly income means the total monthly income, for the month preceding that in which the application for remission is made, from all sources, other than receipt of any of the excluded benefits.

(2) Income from a trade, business or gainful occupation other than an occupation at a wage or salary is calculated as—

- (a) the profits which have accrued or will accrue to the party; and
- (b) the drawings of the party;

in the month preceding that in which the application for remission is made.

(3) In calculating profits under sub-paragraph (2)(a), all sums necessarily expended to earn those profits are deducted.]<sup>1</sup>

#CommentB

#### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

*[General*

#### 14 Resources and income treated as the party's resources and income

(1) Subject to sub-paragraph (2), the disposable capital and gross monthly income of a partner of a party is to be treated as disposable capital and gross monthly income of the party.

(2) Where the partner of a party has a contrary interest to the party in the matter to which the fee relates, the disposable capital and gross monthly income of that partner, if any, is not treated as the disposable capital and gross monthly income of the party.]<sup>1</sup>

#CommentB

#### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

#### 15 [Application for remission of a fee

(1) An application for remission of a fee must be made at the time when the fee would otherwise be payable.

(2) Where an application for remission of a fee is made, the party must—

- (a) indicate the fee to which the application relates;

- (b) declare the amount of their disposable capital; and
- (c) provide documentary evidence of their gross monthly income and the number of children relevant for the purposes of paragraphs 11 and 12.

(3) Where an application for remission of a fee is made on or before the date on which a fee is payable, the date for payment of the fee is disapplied.

(4) Where an application for remission is refused, or if part remission of a fee is granted, the amount of the fee which remains unremitted must be paid within the period notified in writing to the party.<sup>1</sup>

**#CommentB**

### **Amendments—**

**#EndnotesB**

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

**#EndnotesE**

**#CommentE**

### **[16 Remission in exceptional circumstances**

A fee specified in this Order may be remitted where the Lord Chancellor is satisfied that there are exceptional circumstances which justify doing so.<sup>1</sup>

**#CommentB**

### **Amendments—**

**#EndnotesB**

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

**#EndnotesE**

**#CommentE**

### **[17 Refunds**

(1) Subject to sub-paragraph (3), where a party pays a fee at a time when that party would have been entitled to a remission if they had provided the documentary evidence required by paragraph 15, the fee, or the amount by which the fee would have been reduced as the case may be, must be refunded if documentary evidence relating to the time when the fee became payable is provided at a later date.

(2) Subject to sub-paragraph (3), where a fee has been paid at a time when the Lord Chancellor, if all the circumstances had been known, would have remitted the fee under paragraph 15, the fee or the amount by which the fee would have been reduced, as the case may be, must be refunded to the party.

(3) No refund shall be made under this paragraph unless the party who paid the fee applies within 3 months of the date on which the fee was paid.

(4) The Lord Chancellor may extend the period of 3 months mentioned in sub-paragraph (3) if the Lord Chancellor considers that there is a good reason for a refund being made after the end of the period of 3 months.]<sup>1</sup>

#CommentB

### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### [18 Legal Aid

A party is not entitled to a fee remission if, under Part 1 of the Legal Aid, Sentencing and Punishment of Offenders Act 2012, they are in receipt of the following civil legal services—

- (a) Legal representation; or
- (b) Family help (higher); or
- (c) Family help (lower) in respect of applying for a consent order.]<sup>1</sup>

#CommentB

### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### [19 Vexatious litigants

- (1) This paragraph applies where—
- (a) a restraint order is in force against a party; and
  - (b) that party makes an application for permission to—
    - (i) issue proceedings or take a step in proceedings as required by the restraint order;
    - (ii) apply for amendment or discharge of the order; or
    - (iii) appeal the order.
- (2) The fee prescribed by this Order for the application is payable in full.
- (3) If the party is granted permission, they are to be refunded the difference between—

- (a) the fee paid; and
- (b) the fee that would have been payable if this Schedule had been applied without reference to this paragraph.]<sup>1</sup>

#CommentB

### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.

#EndnotesE

#CommentE

### [20 Exceptions

No remissions or refunds are available in respect of the fee payable for—

- (a) copy or duplicate documents;
- (b) searches.]<sup>1</sup>

#CommentB

### Amendments—

#EndnotesB

- 1 Schedule 2 inserted by the Courts and Tribunals Fee Remissions Order, SI 2013/2302 art 9(1), (6), Schedule with effect from 7 October 2013.